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To: Board of Visitors

Subject: Report of open contracts entered into subject to the Code of Virginia "State and Local Government Conflict of Interests Act" ("the Act") § 2.2-3106 C. 8.

There were four contracts entered into at the time of this report (from 7/19/2019-10/31/2019) subject to the Act's exception for prohibited contracts involving research and development or commercialization of intellectual property. Details as per the Act § 2.2-3106 E. are included below.

Contract	#1	#2
Open contract number	PYQZV5V5	PLMN6VHS
Names of parties	Virginia Tech and the Institute of Museum & Library Services	Virginia Tech and Sentek Instruments, LLC
Date contract executed	6/27/19	10/1/19
Contract term	8/1/19-7/31/2022	10/1/19-9/30/2020
Subject of contract	"Opening Books and the National Corpus of Graduate Research"	"Fully Distributed Acoustic and Magnetic Field Monitoring of on a Single Fiber Line for Optimized Production of Unconventional Resource Plays"
Nature of COI	Virginia Tech University Libraries, in collaboration with the VT Department of Computer Science and Old Dominion University Department of Computer Science, received an award to bring computational access to book-length documents (e.g.) with Electronic Theses and Dissertations. Edward Fox, Ph.D, who is serving as the Co-Principal Investigator on this	Gary Pickrell, Ph.D. from the Department of Materials Science & Engineering has received an award from the Department of Energy. The project includes a subaward to Sentek Instruments, LLC. Dr. Anbo Wang from the Department of Electrical and Computer Engineering department has

	<p>project, has developed intellectual property regarding the summation of legal documents. Dr. Fox is the Chief Technology Officer of and has an equity position in Mayfair Group, LLC, whose work is focused on summation of legal documents. The project could result in improvements to the IP. Mayfair is seeking to license the IP; therefore, IP owned by a faculty-owned business is being used or potentially improved by the research.</p> <p>Independent financial, research, and student oversight were appointed and a co-advisor was appointed for the graduate student involved.</p> <p>The exception was approved by President Sands on 8/6/2019.</p>	<p>an equity interest in Sentek Instruments, LLC.</p> <p>Independent financial and research oversight were appointed and Dr. Wang recused himself from participating on the VT side of the project. Dr. Wang will only participate on the entity side of the project.</p> <p>The exception was approved by President Sands on 9/13/2019.</p>
Institution employee responsible for administering contract	<p>Trudy Riley, contract administration signatory</p> <p>Director, VT Office of Sponsored Programs</p>	<p>Trudy Riley, contract administration signatory</p> <p>Director, VT Office of Sponsored Programs</p>
The institution's commitment of resources or finances for the contract	N/A	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)	N/A (no revenues will be generated)

Contract	#3	#4
Open contract number	PV4U2ZEK	PGCQNDFB
Names of parties	Virginia Tech and the Alliance for Phytobiomes Research, Inc.	Virginia Tech and Angiodynamics, Inc.
Date contract executed	7/16/19	10/7/19
Contract term	8/1/19-8/1/2020	9/1/19-3/31/2021
Subject of contract	“Genome-based circumscription and phenotypic characterization of regulated organisms with a focus on the select agent <i>Ralstonia solanacearum</i> ”	“Task order #17: defining the electrical properties and biological impact of tumor ablation modalities for use in the prostate to maximize therapeutic impact”
Nature of COI	<p>Virginia Tech received an award from the International Alliance for Phytobiomes Research, Inc. The lead investigators are Boris Vinatzer, Ph.D. and Lenwood Heath, Ph.D., who are co-founders of the company This Genomic Life, Inc., which was founded to develop a technology that will be used in the above research project at VT. The company owns the trademark for the product used in this project and improvements could lead to further attempts of commercialization through the company.</p> <p>Independent research, financial and student oversight were appointed.</p> <p>The exceptions were approved by President Sands on 9/4/2019.</p>	<p>Rafael Davalos, Ph.D., a Co-Principal Investigator on this project, receives license-related payments from an entity other than the Virginia Tech Intellectual Properties (VTIP). His intellectual property has been licensed to Angiodynamics, Inc., the sponsor of this research.</p> <p>Independent research and financial oversight were appointed.</p> <p>The exception was approved by President Sands on 9/13/2019.</p>

Institution employee responsible for administering contract	Trudy Riley, contract administration signatory Director, VT Office of Sponsored Programs	Trudy Riley, contract administration signatory Director, VT Office of Sponsored Programs
The institution's commitment of resources or finances for the contract	N/A	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)	N/A (no revenues will be generated)