



Cristen Jandreau, Ph.D.
 Director, Research Conflict of Interest Program
 North End Center, Suite 4120
 300 Turner Street NW
 Blacksburg, Virginia 24061
 P: (540) 231-4824 F: (540) 231-0959
 Email: drj@vt.edu

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To: Board of Visitors

Subject: Report of open contracts entered into subject to the Code of Virginia "State and Local Government Conflict of Interests Act" ("the Act") § 2.2-3106 C. 8.

There were two contracts entered into at the time of this report (from 7/1/2021 – 9/30/2021) subject to the Act's exception for prohibited contracts involving research and development or commercialization of intellectual property. Details as per the Act § 2.2-3106 E. are included below.

Contract	#1	#2
Open contract number	PLJMHJR7	PPRCJA2H
Names of parties	Virginia Tech and Kagin's Consulting	Virginia Tech and Phase AM
Date contract executed	8/27/21	9/17/21
Contract term	6/1/21-12/31/22	9/1/21-2/28/22
Subject of contract	"Assessing the economic impact of cash-based transfers in RBN Countries (Kenya, Somalia, and South Sudan)"	"Additive Manufacturing of PDMS Microfluidics"

Nature of COI	Anubhab Gupta, Assistant Professor in the Department of Agricultural and Applied Economics, has reported consulting work for Kagin's Consulting and has received compensation in excess of \$5,000. Virginia Tech has received an award from Kagin's Consulting. Anubhab Gupta is the Principal Investigator for Virginia Tech. Kagin's Consulting may also seek to participate in further research and development with Virginia Tech. The nature of this financial interest in the context of sponsored research creates a financial conflict of interest (FCOI) with state law implications that requires management in order to promote research objectivity.	Zeke Barlow, Director of Communications and Marketing with the College of Agriculture and Life Sciences, has disclosed greater than 3% equity ownership in Phase AM and serves as principal for the company. Phase AM has received an NIH SBIR Phase I award that includes a subaward to Virginia Tech. Zeke Barlow will serve as project manager for Phase AM and is not participating on the Virginia Tech scope of work. The company may also seek to participate in further research and development with Virginia Tech. As defined by state law, Zeke Barlow has a personal interest in a contract.
Institution employee responsible for administering contract	Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs	Trudy Riley, contract administration signatory Director, Virginia Tech Office of Sponsored Programs
The institution's commitment of resources or finances for the contract	N/A	N/A
Details of how revenues are to be dispersed	N/A (no revenues will be generated)	N/A (no revenues will be generated)